

1 IN THE COURT OF COMMON PLEAS
2 OF PHILADELPHIA COUNTY

3 CHERYL FIORELLI :

4 vs. :

5 NEVYAS EYE ASSOCIATES, P.C., :
6 NEVYAS EYE ASSOCIATES and
7 DELAWARE VALLEY LASER SURGERY :
8 INSTITUTE, HERBERT J. NEVYAS,
9 M.D., and ANITA NEVYAS-WALLACE,:
10 8 M.D. :
11

12 Oral deposition of HERBERT NEVYAS, M.D.,
13 taken at the law offices of Goldfein & Homer, 1600 Market
14 Street, 33rd Floor, Philadelphia, PA, on Wednesday,
15 December 13, 2000, beginning at approximately 10:30 a.m.,
16 before Donna M. Simpkins, Registered Professional
17 Reporter, Notary Public.
18

19 APPEARANCES:

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8 Laser Surgery Institute and Herbert
9 Nevyas, M.D.

10 POST & SCHELL
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15 Wallace, M.D.

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1 I-N-D-E-X

2 WITNESS HERBERT NEVYAS, M.D.

3 Examined by
4 Mr. Kafrissen
5 Ms. Newman

3 PAGE _____

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4 Nevyas, M.D.
(It is stipulated by and among counsel
for the respective parties that sealing,
certification and filing are waived, and that
all objections, except as to the form of the
question, are reserved to the time of trial.)

5 HERBERT NEVYAS, M.D., after having been
6 duly sworn, was examined and testified as
7 follows:
8

9 BY MR. KAFRISSEN:

10 Q. Doctor Nevyas, we have just met a moment ago.
11 My name's Sam Kafrissen and I represent the Plaintiff
12 here, Cheryl Fiorelli, and we're here to take your
13 deposition today. Have you ever had your deposition
14 taken before?

15 A. Yes.

16 Q. And on one occasion; more than one occasion?

17 A. Yes, more.

18 Q. More than one, okay. I want to, just to begin,
19 just give you a few brief instructions that you've
20 probably heard in the past in other depositions but that
21 will hopefully make things go a little bit faster or
22 smoother today.

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6 Your testimony today is under oath so
7 the court reporter administered the oath, same oath you
8 would swear in a court of law. Everything that everyone
9 says is being taken down by the court reporter so I'm
10 going to ask you to keep your answers verbal because the
11 court reporter can't take nonverbal responses down, and
12 I'm going to ask that you wait until I finish my question
13 until you begin your answer. I will try to wait for you
14 to finish your answer before I begin my next question.

15 I'm going to ask that we speak one
16 person at a time because the court reporter only takes
17 down one voice at a time.

18 And you're here with your attorney today
19 so if you have any questions for your attorney, you would
20 like to take a break or take a break for any reason, just
21 say so and we'll take a break.

22 If you don't understand one of my
23 questions, say so and I will try to clarify it for you.
24 If -- with my questions, if it's a word, a phrase, the
whole question, whatever it is that you don't understand,
just say so, and especially here because we're dealing
with medical terms, sometimes an attorney has a different
understanding than the doctor does, and I just want to
make sure for the record that we're on the same page when
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1 it comes to that.
2 Do you understand those instructions?

3 A. Yes.

4 Q. Okay.

5 MS. POST: Make sure you keep your voice
6 up so the court reporter can hear you.

7 THE WITNESS: Right.

8 BY MR. KAFRISSEN:

9 Q. Now, we've been provided with your C.V. today,
10 which I'd like to mark as Nevyas 1, and the C.V. that
11 you've provided, Doctor, is this a current C.V.?
12 A. I am not sure. I don't know how current it is.

13 MS. POST: The C.V. would reflect your
14 education and training. It's a question of
15 whether the publications are current.

16 THE WITNESS: Publications and hospital
17 staff appointments and the academic
18 appointments, I'm not sure that they're all
19 current.

20 MS. POST: Why don't we first look over
21 -- I don't mean to take over. Why don't we
22 first look at the hospital appointments and go
23 in that order, and if there are any changes to
24 hospital appointments, tell Mr. Kafrissen.

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THE WITNESS: Okay. Yes. I'm not sure what my status is -- they've changed the status of people who don't operate there at Wills and I do not believe that I am on -- in the same capacity. I'm not sure what it was. There was some kind of courtesy staff and I'm not sure what my current capacity is because I haven't operated there in many years.

BY MR. KAFRISSEN:

Q. Do you have privileges to operate at Wills Eye at present?

A. I have privileges to operate at the Wills Eye Surgical Center in New Jersey, which I had applied for. I do not know whether my privileges are active or not at Wills right now. I'm pretty sure I do have privileges to operate but I'm not positive because I haven't operated there in many years.

Q. Okay.

A. West Park Hospital is not in existence anymore. Oh, I'm sorry, it does give a limited, the hospital appointments here. Let me look at the open ones. Yeah, I'm not sure what my courtesy staff or what my designation is at Wills presently.

The City Avenue Hospital is no longer
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open so I'm not -- that's a moot point, my appointment there. That's all. I have privileges at Presbyterian and Medical College of Pennsylvania.

Q. Okay. And you had also mentioned faculty appointments.

A. Yes. I'm -- I do not know what my current faculty appointment is at the University of Pennsylvania. I may not have one because, again, I haven't been teaching there in a good while, and my faculty appointment is at Medical College of Pennsylvania, where I am a full professor.

Q. Okay.

A. And at Jefferson, again, that was through the Wills affiliation that I had a faculty appointment. I've never been told that I don't have one, but I haven't done teaching there in many years so I'm not sure.

Q. Okay. Is there anything else within the faculty or the hospital appointments that you're aware of?

A. Not that I can think of.

Q. And then I think you said there may be some publications that are more current.

A. I'm not sure. I'd have to check at this point.

Q. Let's see. I may have -- there may be some other
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publications where my name has been involved where I was not the primary author, and I'm not sure of that right now.

Q. Could you describe for me what your current practice is like.

A. My current practice is basically surgical ophthalmology.

Q. Okay. And was your practice any different in 1997?

A. No.

Q. And when you say surgical ophthalmology, can you just describe for me what you mean by that.

A. Well, I primarily do anterior segment surgery; that is, surgery of the anterior segment of the eye.

That involves cataract surgery, which is most of the

surgery that I do that is not nonrefractive; corneal

transplantation and other minor procedures, and I do

refractive surgery which involves refractive lensectomy,

Lasik, laser thermokeratoplasty, astigmatic keratotomy,

radial keratotomy and astigmatic -- did I say refractive

lensectomy?

Q. Yes.

A. Intac placement, I-N-T-A-C. Any other procedures that might come up that are refractive. There

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1 are others -- I can't think of any -- modifications of
2 what I mentioned but that's the majority.

3 Q. In 1997, were you practicing full-time?

4 A. Yes.

5 Q. Now, do you have your complete file here with
6 you today?

7 A. Only on the Fiorelli case?

8 Q. Yes.

9 A. Yes.

10 Q. And can I take a look at that.

11 MS. POST: Off the record.

(Discussion held off the record.)

13 BY MR. KAFRISSEN:

14 Q. Let me ask you this, Doctor. The records that
15 you have here, the copy of Cheryl Fiorelli's records,
16 does that include the Laser Institute records as well?

17 A. Yes.

18 Q. And are those the records that were produced --

19 MS. POST: At what point?

20 MR. KAFRISSEN: Well, during discovery.

21 MS. POST: Yes. Yes.

22 MR. KAFRISSEN: What I'm asking is do I
23 have a complete copy of this so I don't have
24 to go through all these pages?Simpkins Court Reporting (215) 676-4921

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1 MS. POST: I believe you do. What I
2 have produced to you are the office records
3 from Alternative Nevyas Eye Associates as well
4 as the Delaware Valley Laser Surgery Institute
5 records. They're two separate charts which
6 have been provided to you.

7 MR. KAFRISSEN: Okay.

8 MS. POST: Okay?

9 MR. KAFRISSEN: Okay.

10 BY MR. KAFRISSEN:

11 Q. The documents -- is there anything that you're
12 aware of that is missing from the file that you brought
13 with you today?

14 MS. POST: Meaning are there other
15 records?

16 MR. KAFRISSEN: Other records.

17 MS. POST: Other than --

18 THE WITNESS: I didn't bring her
19 financial records.

20 BY MR. KAFRISSEN:

21 Q. And the financial records would be the billing
22 records?

23 A. The billing records.

24 Q. Would the billing records be from the practice
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1 or from the surgical institute?

2 A. Both.

3 Q. Both, okay.

4 Have you ever been asked to review a
5 potential medical malpractice case?

6 A. Yes.

7 Q. Have you been asked on one occasion or more
8 than one occasion?

9 A. More.

10 Q. Have you ever agreed to serve as an expert in a
11 malpractice case?

12 A. Yes, I have.

13 Q. Can you estimate for me on how many occasions?

14 A. Many years, 50, maybe 100 over quite a few
15 years.

16 Q. Have those cases been inside Philadelphia
17 County or outside of the County?

18 A. Both.

19 Q. Within the last, say, five years, have you
20 testified within Philadelphia County?

21 A. By "testified," do you mean in court?

22 Q. In court.

23 A. I haven't -- I've only -- I've never testified
24 in Philadelphia County.

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- 1 Q. Okay. Have you ever testified on a videotaped
2 deposition for a Philadelphia County case?
3 A. I think so. I can't remember specifically
4 where the cases originated but I've been on video
5 depositions on a number of occasions.
6 Q. Okay. Have the cases that you've served on
7 been -- what side have you worked on?
8 A. Both.
9 Q. Okay. And of the cases that you've served on,
10 do you recall the names of any of the attorneys that
11 you've worked with?

12 MS. POST: Sam, the only reason I want
13 to limit this is there may be situations where
14 Doctor Nevyas has been retained as an expert
15 but not anticipated to testify at trial,
16 which, obviously, would not be discoverable in
17 any situation. I don't know that he knows the
18 difference, so if he knows -- if we can limit
19 it to those cases where he's actually been
20 involved --

21 MR. KAFRISSEN: Where he's already
22 testified.

23 MS. POST: -- where he's already
24 testified, then I don't have a problem with
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it.

MR. KAFRISSEN: Okay.

BY MR. KAFRISSEN:
Q. Do you understand the distinction?

A. No.

MS. POST: That's the problem. I just
don't want to interfere with his contractual
relationship with someone in a situation where
he's not called to testify.

MR. KAFRISSEN: I can just change the
question.

BY MR. KAFRISSEN:

Q. What I'm looking for are cases that you've
actually either testified on videotape or in court live,
if you know any of the attorneys that you've worked with
in that capacity.

A. In the past, I have -- I don't remember very
many of the attorney's names. One was David Shrager I
have testified for him, but that's been a long time ago.
I'm not very good with remembering the names of
attorneys. I happen to know him personally so I
remembered his name. I can't tell you others. I don't
remember them.

Q. Okay. Have you ever been involved as a
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1 defendant in a medical negligence case other than this
2 one?

A. Yes.

Q. On how many occasions?

A. I'm not sure what you mean by defendant. I
have one other case at present where I am being sued, and
I've had three or four, perhaps, in the past where I was
sued but they never got so far as to a deposition.

Q. Okay. The case -- there's one other case
that's currently active?

A. That's right.

Q. And do you know where that case is pending?

A. In Philadelphia, I think, yes.

Q. Rather than get into that case, because it's
probably a lot of other issues involved with it, does
that involve anything to do with Lasik or lens
replacement surgery?

A. Yes.

Q. Do you know the name of the person bringing
that case?

A. Yes.

Q. What's that?

A. Dominic Morgan.

Q. Have you been deposed in that case?

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- 1 A. No.
2 Q. Do you know the name of the firm representing
3 you in that case?
4 A. I don't remember it. Oh, I'm sorry.
5 Representing me?
6 Q. Yes.
7 A. I forgot.
8 Q. Okay. For today's deposition, did you review
9 any materials?
10 A. Yes.
11 Q. And can you tell me what you reviewed.
12 A. I looked over the -- very briefly, I looked
13 over the records. I didn't go through them all on this
14 case.
15 Q. Did you review any articles, journals or any
16 books that dealt with any of the issues involved in this
17 case?
18 A. No.
19 Q. Did you do any research, outside of looking in
20 the records, into any of the issues involved in this
21 case?
22 A. No.
23 Q. Did you speak with any colleagues concerning
24 any of the issues involved in this case?
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- 1 A. No.
2 Q. Did you speak with Anita Nevyas-Wallace about
3 this case?
4 A. No.
5 Q. And my understanding, from Anita's deposition,
6 is that Anita is your daughter?
7 A. Anita's my daughter. Other than to say it's a
8 pity that this woman has resorted to lawsuits, that's
9 all. We haven't discussed the facts of the case at all.
10 Q. Okay. Did you discuss Anita's testimony,
11 Anita's deposition testimony prior to coming here today?
12 A. Not at all.
13 MS. POST: With Anita?
14 MR. KAFRISSEN: With Anita.
15 THE WITNESS: No.
16 BY MR. KAFRISSEN:
17 Q. Did you read Anita's deposition transcript?
18 A. No.
19 Q. Okay. Other than your attorney, have you
20 spoken to anyone about this case and your testimony here
21 today?
22 A. No.
23 Q. What I'd like to do is get an idea -- and I
24 looked through -- because we had been provided a C.V.
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- 1 before about -- if you could describe for me your
2 experience with lens replacement surgery, rather than
3 having me go and say: What's this article about; what's
4 that one about.
5 MS. POST: You mixed metaphors. Do you
6 want to know if his C.V. contains any articles
7 or anything about lens replacement --
8 MR. KAFRISSEN: No.
9 MS. POST: -- or do you want to talk
10 about as of '97, what his experience was with
11 lens replacement?
12 MR. KAFRISSEN: Right.
13 MS. POST: Because they were two
14 questions.
15 MR. KAFRISSEN: Okay. I would like the
16 doctor just to give me, if you can, to kind of
17 summarize for me his experience with lens
18 replacement surgery.
19 MS. POST: As of 1997?
20 MR. KAFRISSEN: As of 1997.
21 THE WITNESS: Well, I've been performing
22 cataract surgery since about 1963 or so, and
23 lens replacement surgery is cataract surgery,
24 except it's easier and safer because you don't
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have to deal with a hard cataract. You deal with a very soft lens, which can essentially usually just be aspirated without having to break it up by ultrasound, and I've done a great many cataracts over the years. I guess maybe 30,000 or so, probably more than anybody else in the Delaware Valley. I've lectured on cataract. I've devised instrumentation for lens surgery, cataract surgery -- they're the same thing -- and I have been very active in it. That's what most of my work has been over the years.

BY MR. KAFRISSEN:

Q. Okay. Can you describe for me your training in performing the Lasik procedure.

A. The training for the Lasik procedure, I guess, would have to start with training in automated lamellar keratoplasty, or ALK. Since that operation which we began doing -- I'm not sure of the date, I think in the early '90s, '91 or so or '92, perhaps, is the same as Lasik except that a mechanical device is used for removing the portion of the cornea that gives the power change in the cornea rather than a laser, and I took a mini fellowship with Doctor Steven Slade, S-L-A-D-E. I

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you describe, which is kind of a precursor, it sounded like, to Lasik, was corneal thickness ever a concern in performing an ALK procedure?

A. Sure. Concern, yes.

Q. Can you describe for me what significance, if any, corneal thickness had to the ALK procedure.

A. If the cornea were extremely thin, one might get progressive change and progressive hyperopia after surgery. There were two ALK procedures: one for myopia, which is the same as Lasik, essentially, except that the second cut is made with the microkeratome to remove tissue; and the other procedure was a microkeratome procedure for hyperopia where you make a very deep cut; and the thickness of the cornea is important there because you can only take a certain percentage of the cornea for the deep cut without getting progressive hyperopia. This really doesn't apply to the Lasik procedure, but we have to be careful of that because the principle of the hyperoptic ALK procedure is that of a controlled ectasia of the cornea, and to control it you have to have the right depth.

Q. Now, did ALK continue in use after Lasik came to be?

A. By some people, until they got lasers. I don't Simpkins Court Reporting (215) 676-4921

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I attended lectures by Doctor Louis Ruiz, R-U-I-Z, and he is essentially the inventor of the procedure, and I attended many medical meetings involving ALK. I trained in Lasik by attending many fellowships with several different doctors. I spent time with Doctor Delaney in Phoenix and with Doctor Hollace in Columbia - Columbus, Georgia, Columbus, Georgia. And I've attended many meetings and worked with my colleagues on it, and I've done a lot of reading and work in the field.

The Lasik procedure and the ALK procedure are the same except for the use of the laser to remove the tissue that makes the power difference. Actually, Lasik is a much easier operation than ALK.

Q. When did you begin doing ALK on your own?

A. I would guess around '91 or '92, but I'm not sure.

Q. Do you have any subspecialty within ophthalmology?

A. I would consider my subspecialty cataract and refractive.

Q. Okay. So from the early '90s through -- was there -- when did you start to perform Lasik?

MS. POST: When did he start the training or when did he start to do it on his Simpkins Court Reporting (215) 676-4921

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own?

MR. KAFRISSEN: No. When did he start to perform it himself?

THE WITNESS: I believe it was in 1996, but I may have that note in my bag, if you want me to review it. I wrote down a few dates to remind myself of dates. If you want, I'll check it.

MR. KAFRISSEN: Okay.

MS. POST: Why don't you do that.

THE WITNESS: December of '95 I started using the laser.

BY MR. KAFRISSEN:

Q. Now, of the Lasik procedures, from my review of the records, it looked like you had assisted in some of the Lasik procedures and the enhancement procedures that were done on Cheryl Fiorelli but had not been the primary surgeon; is that right?

A. Yes.

Q. And is that correct for all -- were there any Lasik procedures where you were the primary surgeon with regard to Cheryl Fiorelli?

A. No.

Q. Okay. With regard to the ALK procedure that Simpkins Court Reporting (215) 676-4921

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I know of anyone who would have continued using ALK if he had the ability to use the laser. It's more accurate.

Q. Now, during the Lasik training, can you tell me what, if anything, you learned about the importance of corneal thickness in the Lasik procedure.

A. Nothing different from ALK. The corneal thickness, again, is measured so that one doesn't remove so much cornea that one could get progressive hyperopia or ectasia.

Q. Okay. When you perform ALK, would you measure corneal thickness prior to performing the procedure?

A. I believe so. I don't remember exactly whether we were measuring it -- or how we were measuring it. We were estimating it, certainly, at the slitlamp. I do not remember when we started using ultrasonic measurements of corneal thickness. We've always had optical measurements of corneal thickness.

Q. When you began performing the Lasik procedure in December of 1995, were you making either ultrasonic or optical measurements of corneal thickness prior to performing a Lasik procedure?

A. I do not recall whether that was being done or whether it was being estimated on a slitlamp examination. I'm not sure. I'd have to check the records. I don't Simpkins Court Reporting (215) 676-4921

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I know.

Q. Okay. The considerations regarding corneal thickness with ALK, would those have been considerations that you were aware of between, say, '92 and '95?

A. I'm sorry. What was that question?

Q. You had mentioned that corneal thickness would be a consideration in performing ALK; correct?

A. Yes.

Q. And what I'm asking you is was the consideration of corneal thickness something that you were aware of between 1992 and 1995 when you were performing those ALK procedures?

A. It's something we became aware of when we learned that corneal thickness was important. When we started doing the procedure, I don't think we were as aware of it, but as cases were reported in some patients who had very thin corneas developing ectasia, we became more aware of it. I really don't remember when ultrasonic pachymetry became available, and as soon as it did, we got the instrument and started using it.

Q. Do you know if that was available prior to March of 1997?

MS. NEWMAN: In his office or anyplace?

MR. KAFRISSEN: At all.

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THE WITNESS: I'm not sure.

BY MR. KAFRISSEN:

Do you know if it was in your office prior

to --

As I said, I don't remember exactly when we got the instrument.

Okay. When you say -- you had just mentioned that in certain patients it had been reported, issues of thickness or people with thin corneas, issues that had arisen when they went through this procedure, the ALK procedure. Do you recall when, approximately, those articles started coming out?

Not exactly. I couldn't name you a date.

No. Without naming a date, but would it be before the Lasik surgery started or after the Lasik started?

MS. POST: Before he started doing Lasik?

MR. KAFRISSEN: Right.

THE WITNESS: Probably after but, again, I'm not sure. If one searches the literature, one might find articles many years ago that discuss thickness. I'm not sure.

BY MR. KAFRISSEN:

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Q. In March of 1997, am I correct that both you and Anita Nevyas-Wallace were employees of -- who was your employer?

A. The corporation, Nevyas Eye Associates.

Q. At the time of the surgeries in 1997 and 1998 on Cheryl Fiorelli, were you continuously employed by Nevyas Eye Associates?

A. Yes.

Q. So that anything you were doing was on behalf of Nevyas Eye Associates?

A. Yes.

Q. With regard to the surgery and the treatment of Cheryl Fiorelli.

A. I'm not sure I understand the question, but, yes, that was the employment situation. It was on behalf of myself and my practice.

Q. Okay.

A. I own Nevyas Eye Associates.

Q. And between 1997 and 1998, was Anita Nevyas-Wallace also an employee continuously for Nevyas Eye Associates?

A. Yes.

Q. Can you tell me about how thick a normal cornea is?

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A. About 500 microns. That's half a millimeter. Q. And how thick is a -- is there a normal thickness of a Lasik flap?

A. Yes.

Q. And how thick is a normal Lasik flap?

A. Usually about 160 microns. That's what we set it for.

Q. And would you agree with me that the Lasik procedure reduces the thickness of the cornea?

A. Sure.

Q. Were you aware, as of 1997, that the Lasik procedure reduced the thickness of the cornea?

A. Yes.

Q. And would you also agree that the ALK procedure, which preceded Lasik, reduced the thickness of the cornea as part of the procedure?

A. The central thickness in the zone that was operated. It reduces only the central thickness, both of them.

Q. Okay. The thickness of the normal cornea, when you say 500 microns, is that what you're talking about, the central thickness?

A. Yes. I'm talking about the center. It gets thicker in the periphery.

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Q. To correct nearsightedness, as in Cheryl Fiorelli, would you be flattening or rounding the central cornea?

MS. POST: Objection to form. You can answer.

THE WITNESS: Flattening.

BY MR. KAFRISSEN:

Q. In 1997, was there any way to measure the remaining -- the thickness of the remaining cornea?

A. Yes.

MS. POST: After surgery? Is that what you're asking?

MR. KAFRISSEN: After surgery,

THE WITNESS: Yes.

BY MR. KAFRISSEN:

Q. And can you tell me how that was done.

A. Ultrasonic picometry (ph). I'm sure we had the ultrasonic picometer sometime around there. In '97 I know we had it because I see it on the records now that I've looked.

Q. And can you tell me what significance is there, if any, of the post-surgical corneal thickness.

A. Well, most people feel that one should leave 200 to 250 microns of corneal base beyond the ablation in

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1 order not to run the risk of having ectasia post-operatively, and the postoperative thickness would tell 2 you the full thickness of the cornea. Assuming the flap 3 is 160 microns, if you subtract that from the 4 postoperative thickness, you would have the thickness of 5 the base that was left.

Q. Was corneal thickness a factor in planning the 6 Lasik surgery prior to March of 1997?

A. I really don't know if it was a factor or not. 7 Obviously, the gross appearance of the cornea was. I do 8 not have in the record here -- perhaps you have it; I'm 9 not sure, since I didn't see the patient initially --

Q. Right.

A. -- and I have not gone over the records in 10 great detail, I do not know whether corneal thickness was 11 measured ultrasonically prior, but I do see on this 12 chart, as of July of '97, corneal thickness was measured 13 ultra-sonically, and the corneas were actually thicker 14 than normal and far thicker than needed for the amount of 15 Lasik that she had, if that's what you're asking.

Q. Okay. Well, I did ask that, but I also -- I 16 guess what I was asking is not necessarily with relation 17 to Cheryl Fiorelli, but, in general, as of 1997 -- let's 18 say the beginning of 1997, when a surgeon is planning a 19 Simpkins Court Reporting (215) 676-4921

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1 procedure, a Lasik procedure, is corneal thickness -- was 2 corneal thickness a factor to be considered?

MS. POST: The only reason I'm objecting 3 is because you're venturing into the realm of 4 expert opinion, and he's not here to give 5 opinions as to anything that he was not 6 involved in, and what you're leading up to is 7 Doctor Nevyas giving an opinion, basically, 8 about what was done, and I don't want to go in 9 there. If you're talking about his general 10 knowledge as to whether he did it, that's 11 fine, but if you're asking is it done by other 12 people, then that's where I don't think it's 13 an appropriate question.

MR. KAFRISSEN: Well, actually, I am 14 asking about his general knowledge, but I 15 still think it is an appropriate question 16 because he assisted in the Lasik procedure of 17 3/20/97.

MS. NEWMAN: But that's not doing a pre- 18 operative study.

MS. POST: That's not dealing with the 19 preoperative issues, and he was not involved 20 in the preoperative issues.

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1 MR. KAFRISSEN: Well, we haven't got to
 2 that yet.
 3 MS. POST: He just said that, so I think
 4 the --
 5 MR. KAFRISSEN: What I'm asking is his
 6 general knowledge.

7 MS. POST: That wasn't your question.
 8 Your question was do people or -- I'm
 9 paraphrasing, but does one, in evaluating a
 10 patient for Lasik, measure corneal thickness,
 11 and I think that is venturing into the expert
 12 issue. If you want to know whether Doctor
 13 Nevyas, in 1997, whether that was his practice
 14 to do so, I'll allow him to answer that, but
 15 whether it's should someone else do it is not
 16 an appropriate question, again, considering
 17 the fact that he's already testified that he
 18 wasn't involved.

19 MS. NEWMAN: And I would object to the
 20 question was it his practice in 1997 because
 21 it's irrelevant to this case.

22 MR. KAFRISSEN: Okay.

23 BY MR. KAFRISSEN:

24 Q. As of January of 1997, when you were planning a
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1 Lasik procedure, how, if at all, did you consider corneal
 2 thickness?

3 MS. NEWMAN: Objection.

4 MS. POST: You can answer.

5 THE WITNESS: I don't recall
 6 specifically in January of 1997 what we did,
 7 but, obviously, we examined the patient and if
 8 the cornea looked adequately thick, we weren't
 9 overly concerned about it. As soon as we had
 10 the means to measure ultrasonically, we did
 11 since that's the more accurate way to measure
 12 corneal thickness, but optically with a
 13 slitlamp beam we could gauge thickness, and we
 14 did gauge it always. If a cornea looked quite
 15 thin, we would be concerned, but it was only
 16 after that time that cases became reported
 17 that established one should leave 200 to 250
 18 microns.

19 BY MR. KAFRISSEN:

20 Q. Now, when you say it was only after that time,
 21 what do you mean?

22 A. There have been reports over the past few years
 23 urging us to leave more than 200 or 250 microns in order
 24 to avoid the possibility, not probability but the
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1 possibility of ectasia where one could have iatrogenic
 2 keratoconus where the cornea might not have its normal
 3 sphericity but rather be somewhat cone-shaped, and there
 4 have been a few cases reported of iatrogenic -- that is,
 5 physician caused -- keratoconus from leaving too little
 6 cornea. These cases I've seen in the literature have
 7 been mostly over the past few years, and I cannot
 8 remember exactly whether I had seen cases reported or
 9 whether there had been editorials on it in '97 or whether
 10 it was '98. I'm not sure, '99.

11 Q. Okay.

12 MS. NEWMAN: Sam, can I go over
 13 something that he just said because I missed
 14 the answer.

15 MR. KAFRISSEN: Sure.

16 MS. NEWMAN: You said that in looking at
 17 the records in July of 1997, the corneas were
 18 measured postoperatively, and did you give a
 19 number for how many microns they were post-
 20 operatively?

21 THE WITNESS: Yes.

22 MS. POST: He did not give a number.

23 THE WITNESS: The record had a number.

24 MS. NEWMAN: Then I won't interject.

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1 BY MR. KAFRISSEN:

2 Q. What's the number?

3 A. The right eye was 447 microns and the left eye
 4 was 580 microns. And I might add for clarity that the
 5 two eyes are almost always very similar so that we can
 6 pretty well assume the right eye prior to any surgery had
 7 about 580, and that would just about be what we would
 8 expect for the amount of correction.

9 MS. NEWMAN: Sorry to interrupt.

10 BY MR. KAFRISSEN:

11 Q. I want to clarify one thing. That was in July
 12 that measurement was made?

13 A. Of '97.

14 Q. Of '97. Would the thickness of the measurement
 15 change at all by virtue of the fact that she had already
 16 had a lens replacement at that point in the left eye?

17 A. No.

18 Q. Now, prior to March 20th of 1997, which is,
 19 from my review of the records, the first Lasik procedure
 20 on Cheryl Fiorelli's right eye, had you ever examined or
 21 evaluated Cheryl?

22 A. I don't recall ever examining her before then,
 23 no. According to the records, I have not.

24 Q. Okay. Do you have any independent recollection
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1 of Cheryl?

2 A. I remember her, yes.

3 Q. What do you remember about her?

4 A. She was a thin, young woman, very anxious.

5 Q. Okay. When do you first see in the records
 6 that you had contact with Cheryl Fiorelli?

7 A. I'd have to look in the records to tell that.

8 Q. Okay.

9 A. According to the record, I first saw her on
 10 March 21st of '97, the day after her Lasik procedure.

11 Q. Okay. Now, the Lasik procedure that was
 12 performed, we have the records from the Delaware Valley
 13 Laser Surgery Eye Institute, or actually Laser Surgery
 14 Institute, and in the records you were listed as the
 15 assistant in the March 20, 1997 Lasik procedure, and I'm
 16 looking at the operative form.

17 A. Yes.

18 Q. Okay.

19 A. May I look at it. I can't find it in my pile.

20 MS. NEWMAN: Off the record.

21 (Discussion held off the record.)

22 BY MR. KAFRISSEN:

23 Q. Now, before I get to that, do you have any
 24 recollection of ever discussing Cheryl Fiorelli with
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1 Doctor Nevyas-Wallace prior to March 20th of 1997?

2 A. No.

3 Q. Do you have any recollection, prior to March
 4 20th of 1997, of having any contact with Cheryl Fiorelli?

5 A. I have no recollection.

6 Q. Okay. Is there anything in your records that
 7 you've seen that indicates that you had any contact or
 8 dis -- any contact with Cheryl Fiorelli or any
 9 discussions with anyone about her prior to the surgery in
 10 March 20, 1997?

11 A. No.

12 Q. Did you ever, prior to the March 20 -- the
 13 performance of the procedure on March 20, did you ever
 14 make an independent evaluation of Cheryl as a surgical
 15 candidate?

16 A. No.

17 Q. Did you, prior to the March 20 performance of
 18 the procedure, ever aid Doctor Nevyas-Wallace in making
 19 an evaluation of Cheryl as a surgical candidate?

20 A. I have no recollection of such.

21 Q. Okay.

22 A. We practice in the same office. I guess,
 23 theoretically, it's possible I could have seen her at
 24 some point in the office, but I've never actually seen
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1 her as a patient.

2 Q. Okay. The records that we were given -- just
 3 so I'm clear, the records from your office, we have a
 4 typed translation of those records. With regard to the
 5 entries that you made, have you seen the typed entries?

6 A. I dictated it.

7 Q. Oh, okay. Is there anything in your review, in
 8 terms of these records getting ready for the case today
 9 or in your review of these records after they were
 10 dictated, that you feel is inaccurate?

11 A. No.

12 MS. POST: Your question, just so I
 13 understand, is you want to make sure that
 14 what's in the handwritten record has been
 15 translated accurately.

16 MR. KAFRISSEN: Accurately, right.

17 MS. POST: I just want it right.

18 THE WITNESS: As far as I know.

19 MR. KAFRISSEN: Okay. That's the
 20 question.

21 MS. POST: Okay.

22 BY MR. KAFRISSEN:

23 Q. Now, do you have any independent recollection
 24 of the March 20 Lasik procedure on Cheryl Fiorelli?
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1 A. No.

2 Q. You are listed in the operative form from the
 3 Laser Surgery Institute as assistant. Do you see that?

4 A. Yes.

5 Q. And the primary surgeon in that was Anita
 6 Nevyas-Wallace; is that right?

7 A. Yes.

8 Q. Why did you assist in that procedure?

9 A. I just wanted to be present to increase my
 10 experience and to be of any help which I could.
 Similarly, she assisted at my procedures.11 Q. Okay. Do you have any idea how many Lasik
 12 procedures you had performed as of March of 1997?13 A. No, but we have a logbook that would list the
 14 number exactly. I don't remember.15 Q. Okay. Let me ask it this way. Without making
 16 a wild guess, is there any way to reasonably estimate how
 17 many per month or per six months or per year you had
 18 performed?19 A. Well, we had just started, as I said, in
 20 December of '96 -- was it '96?21 MS. POST: Your testimony was December
 22 of '95.23 THE WITNESS: '95, I'm sorry. I don't
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1 really remember numbers. Maybe a couple of
 2 hundred but I'm not sure. I would have to get
 3 you the accurate number if you need it.

4 BY MR. KAFRISSEN:

5 Q. Can you tell me, as an assistant, do you have
 6 any recollection of what you actually did during this
 7 specific procedure?

8 MS. POST: Not generally.

9 THE WITNESS: Not generally?

10 BY MR. KAFRISSEN:

11 Q. Right. Not what you would normally do.

12 A. I don't recall this specific procedure.

13 Q. Okay. Now let me ask you generally as an
 14 assistant in a Lasik procedure in March of 1997, could
 15 you tell me generally what it is that you would be doing.16 A. Just standing by, observing; if one needed any
 17 help with the operation of the laser, I would perhaps
 18 help. I would follow orders. If I were told to do
 19 anything to help retract the drape if the patient were
 20 having trouble breathing or sometimes I may have operated
 21 the foot pedals for the microkeratome, although I don't
 22 believe I did in this case, but I just stood by and
 23 observed, primarily, see if I was needed.24 Q. Okay. Now, prior to the performance of the
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1 March 20 surgery, did you make any evaluation or any
 2 recommendations with regard to Cheryl trying to be fitted
 3 for contact lenses?

4 A. Prior to what?

5 Q. The March 20...

6 A. Well, I didn't see the patient prior to the
 7 surgery.8 Q. Okay. Can you tell me what was your
 9 understanding as to the purpose for the procedure on
 10 March 20.
11 MS. NEWMAN: Objection. He doesn't
 12 remember.

13 MS. POST: Right.

14 THE WITNESS: The purpose for the Lasik
 15 procedure?

16 MR. KAFRISSEN: Yeah.

17 MS. POST: Let me -- since he doesn't
 18 remember the procedure, I don't know whether
 19 he can say what the purpose was of this
 20 particular procedure. Do you want to know
 21 what the goal is generally in performing a
 22 Lasik procedure?
23 MR. KAFRISSEN: Well, I think he can say
 24 -- I know he doesn't remember the procedure,
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1 but he has looked at the records so he may
 2 have -- having looked at the records, it may
 3 have refreshed his recollection as to why they
 4 were performing this particular procedure on
 5 Cheryl as opposed to what they do generally,
 6 so I think I can ask him that question:
 Having looked at the records, do you know what
 7 the purpose --

8 MS. POST: My problem is as opposed to
 9 what they do in general?
10 MR. KAFRISSEN: Well, I don't know if
 11 there is a difference or not, but that's why I
 12 need -- I'd like to ask the doctor to clarify.
13 MS. POST: Objection to the form. If
 14 you know.
15 THE WITNESS: The purpose of the
 16 procedure was the same as any of myopic Lasik
 17 procedure: to relieve the patient of the
 18 myopia, which made her dependent upon glasses
 19 or contact lenses, and in her case made her
 20 absolutely blind and helpless without an
 21 optical prosthesis.

22 BY MR. KAFRISSEN:

23 Q. Okay. Was there any upper limit to the
 24 Simpkins Court Reporting (215) 676-4921

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1 nearsightedness as of 1997 in determining whether you
 2 would operate on someone or not?

3 MS. POST: Objection to form. He didn't
 4 operate, but I'll allow him to testify as to
 5 his considerations.
6 MS. NEWMAN: Objection. Go ahead. You
 7 can answer.
8 THE WITNESS: At that time Lasik was
 9 being done up to about 25 diopters around the
 10 world, and, therefore, there was no specific
 11 limit, but for the higher ones we tended to do
 12 refractive lensectomy and for the lower ones,
 13 Lasik, since the results of Lasik were less
 14 predictable and dependable the higher you get.

15 BY MR. KAFRISSEN:

16 Q. Okay. Were you aware prior to surgery of what
 17 Cheryl's preoperative refraction was?
18 MS. NEWMAN: Objection. He doesn't
 19 remember.

20 MS. POST: Yeah.

21 MR. KAFRISSEN: Well, it's actually in
 22 the records.
23 MS. NEWMAN: Well, I object. They speak
 24 for themselves.
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1 MR. KAFRISSEN: That's right, but what I
 2 want to know is he's assisting in -- the
 3 doctor is assisting in the surgery so now does
 4 he look at the preoperative refraction prior
 5 to surgery?

6 MS. NEWMAN: That's a different
 7 question.

8 MS. POST: Let me just -- in 1997 was it
 9 his practice -- since he doesn't remember this
 10 surgery, was it his practice, when assisting,
 11 to look at the preoperative refraction? I'll
 12 let him answer that.

13 BY MR. KAFRISSEN:

14 Q. You can answer that.

15 A. Well, I don't know whether I always did, but I
 16 often would look at the notes on the patient to see what
 17 the patient's preoperative refraction was.

18 Q. Okay. If you had a concern about the procedure
 19 being performed given the preoperative refraction, is
 20 that something that it would be your standard practice
 21 and procedure to voice prior to the procedure being
 22 performed?

23 A. Yes.

24 Q. Okay. Do you know whether you had a concern
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1 about Cheryl Fiorelli having this procedure given her
 2 preoperative refraction?

3 A. I don't think I would have a concern. I don't
 4 think I did at that time, certainly, because we had -- I
 5 have done considerably higher than that procedure -- than
 6 that amount at that time with very good results; however,
 7 because of the general reports of some people having
 8 problems with very high ones, we're not doing them much
 9 higher than that now.

10 Q. Much higher than what?

11 A. Fifteen.

12 Q. Oh, okay. When you're assisting in a
 13 procedure, do you do an independent evaluation of the
 14 patient prior to the surgery or is it the surgeon who
 15 does that?

16 A. No. The surgeon does that.

17 Q. When you're assisting -- I'm just trying to
 18 figure out exactly what goes on, but, I mean, is it
 19 essentially, when you're an assistant, the first time you
 20 come into contact with the procedure is when they're
 21 prepped and in the room?

22 A. That's correct.

23 Q. MS. NEWMAN: Do you mean the person?

24 A. MS. POST: The patient.

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MS. NEWMAN: You said the procedure.

MR. KAFRISSEN: The patient.

MS. POST: Do you want to rephrase it.

MR. KAFRISSEN: Let me rephrase it so

it's clear.

6 BY MR. KAFRISSEN:

7 Q. The first time, as an assistant, that you
 8 really come into contact with the person is when they are
 9 prepped and in the surgical area?

10 A. MS. POST: The patient.

11 MR. KAFRISSEN: The patient.

12 THE WITNESS: Yes.

13 BY MR. KAFRISSEN:

14 Q. Are you aware of any calculation being made
 15 prior to surgery with regard to how much corneal tissue
 16 was to be remaining following the procedure?

17 A. I am not.

18 Q. Is there anything in the records that indicates
 19 that any such calculation was made that you've seen?
20 A. I didn't notice, but, again, I haven't gone
 21 through the records very carefully but I didn't notice.
 22 I don't recall seeing any.
23 Q. With regard to the March 20, 1997 procedure, as
 24 an assistant, are you involved in the centering of the
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1 laser?

2 A. Yes. I might have been. I often, as part of
 3 my assisting, while the surgeon was doing one thing, I
 4 might have been doing something else, and those other
 5 things might have included checking the laser beam for
 6 the evenness of the beam, the fluence -- that is, the
 7 amount of power of the beam, and the centration -- I'm
 8 just -- yeah, yeah, the centration of the beam with the
 9 reticle of the microscope, yes. That would be part of
 10 the preparation of the laser, and other things I might do
 11 would be to prepare the regular keratome, and that had to
 12 be assembled and inspected carefully. The blades have to
 13 be inspected beforehand so I did those things to
 14 facilitate the surgery.

15 Q. Okay. Tell me how you would help with
 16 centering of the beam.

17 A. Well, I would look through the microscope and
 18 make -- and have the laser set to a six millimeter wide
 19 ablation, circle six millimeters, which should fall right
 20 within one of the designated circles in the reticle in
 21 the eyepiece in the microscope, and then by adjusting
 22 the final turning mirror of the laser, sitting at the
 23 microscope, I would make sure that the laser ablation
 24 fell exactly within the centration reticle so that by
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1 centering the eye on a given point, the laser beam would
 2 be centered.

3 Q. Okay. Now, would the surgeon recenter the beam
 4 or is it a process where both the surgeon and the
 5 assistant center the beam?

6 A. No. It would be centered either for a given
 7 setting of the interpupillary distance of the
 8 microscope, and I would leave her interpupillary
 9 distance in there and just -- it's a monocular procedure.
 10 Using the eyepiece that has the reticle, the left
 11 eyepiece, I would see that the beam is centered within.
 12 Q. Okay. There is an eight -- it's actually a
 13 nine-page document dated 3/20/97, that page one is the
 14 informed decision consent or refusal for laser -- it's
 15 basically the laser informed consent for the 3/20
 16 procedure, and on page eight there is a physician's
 17 signature. Page nine is actually a true/false quiz. Do
 18 you see that?

19 A. Yes.

20 Q. Page eight, whose signature is at the
 21 physician's signature?

22 A. That is my signature.

23 Q. Okay. And can you tell me how your signature
 24 came to be on the physician's signature for the informed
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1 consent document.

2 A. The informed consent documents are presented to
 3 the patient and given the patient to take home and read,
 4 usually, and after the patient returns, one of the
 5 doctors is asked to sign the form. I probably signed it
 6 on the day of surgery because there was no signature
 7 there so I signed it, meaning that I had approved the
 8 fact that the patient had read and initialed everything.

9 Q. Okay. The fact that you have signed it, does
 10 that indicate, as a matter of practice, that you have
 11 reviewed the consent form with the patient and explained
 12 the risks and alternatives to the procedure?

13 A. No. It means that some physician has but it
 14 could be either of us.

15 Q. Okay. Do you have any recollection of having
 16 gone through the risks of the procedure or alternatives
 17 to this procedure with Cheryl Fiorelli?

18 A. No. I have no recollection. That doesn't mean
 19 that I might not have discussed it with her if she had
 20 been in the office. I don't recall.

21 Q. Okay. There is a note on the operative form
 22 about the laser keratome stopping on its forward and its
 23 backward pass.

24 A. Yes. Simpkins Court Reporting (215) 676-4921

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- 1 Q. Do you have any recollection of that having
2 happened?
3 A. No.
4 Q. Can you tell me what significance, if any, the
5 fact that the keratome is recorded as having stopped
6 three-quarters of the way on forward and one-quarter of
7 the way on the backward pass?

MS. NEWMAN: Objection to form.

MS. POST: You can answer.

THE WITNESS: The significance is that the microkeratome that was in use at that time, and is still in use pretty widely, had a gear system which could sometimes hang up momentarily, and if the laser hesitates, it could create some unevenness in the cut making the corneal flap. The significance here is that it stopped toward -- I don't know -- the three-quarters was recorded either by the nurse or the optometrist who was assisting, who obviously couldn't be looking in the microscope, but it looked to them as if it hesitated when it was pretty well through the pass, and, therefore it would have no significance really, except to, you know, we

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1 note everything that happens in the procedure.
2 No clinical significance.

BY MR. KAFRISSEN:

- 3 Q. Okay. During the course of your treatment of
4 Cheryl and the course of your follow-up visits for her
5 right eye, did you ever record an irregular astigmatism
6 in her right eye?

MS. POST: On his visits with her?

MR. KAFRISSEN: Right.

THE WITNESS: I don't recall that. I'd have to look through all the records again. I don't recall ever -- I don't recall there being an irregular astigmatism. This would be partly a function of the par topography. That would be the only way we really could tell if there's irregular astigmatism. As I recall, the topography looks wonderful. I don't see anything here that would suggest that.

BY MR. KAFRISSEN:

- 19 Q. Okay. Are you reviewing them?

20 A. Yes, I am. I'm just looking to see whether
21 there's anything that I would have noted with regard to
22 any kind of astigmatism, irregular or otherwise. No, I
23 don't have anything that I can see here.

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- 1 Q. Okay. Can the keratome stopping and the
2 procedure being continued cause an irregular astigmatism?

MS. NEWMAN: Objection.

3 MS. POST: Objection to form. At what
4 point during the pass and it's on the forward
5 or backward pass? Are you talking under these
6 circumstances where it's noted to be
7 three-quarters of the way through?

MR. KAFRISSEN: Yes.

8 MS. NEWMAN: I would object to the form
9 as including three-quarters of the way through
10 measurement because there's been testimony
11 that it was seven-eighths.

MS. POST: With that in mind.

BY MR. KAFRISSEN:

- 16 Q. With what is written here.

17 A. If the keratome would hesitate within the
18 center of the pupil, it could affect the vision, whether
19 it would be irregular astigmatism or not. If it
20 hesitates outside of the pupillary area, it would have no
21 effect at all.

22 Q. Okay. You had described the different things
23 that you may do as an assistant prior to the procedure in
24 terms of the centering the beam, the power of the beam,
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1 preparing the microkeratome. In terms of the performance
2 of the procedure and the post-op, is there anything that
3 you as an assistant do?

MS. POST: When you say post-op, you mean that day as opposed to a post-op visit?

MR. KAFRISSEN: Right. Meaning in the operating room after the procedure ends.

MS. POST: Okay.

THE WITNESS: The procedure is in the hands of the surgeon and there isn't much the assistant does except observe and be there to be called upon should there be any problems. Mostly, we're concerned about mechanical problems, laser problems, that might need a hand, but, no, during the actual procedure itself, there's nothing the assistant would do but stand by.

BY MR. KAFRISSEN:

- 19 Q. Okay.

20 A. Perhaps pass an instrument to the surgeon if
21 the surgeon needed an instrument.

22 Q. At any time did you become aware that the March
23 20 procedure was decentered?

MS. POST: Objection to form. You can
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answer.

THE WITNESS: I must say that decentration is a relative thing. Everybody is somewhat decentered and it's a question of whether it's clinically decentered or not. Very seldom are we utterly on zero, but from what I could see looking at the topographies, I would say the centration here was not bad, pretty good, particularly looking at the subtraction topography that shows what Fiorelli's cornea looked like before and after and then subtracting it. From what I can see right here, it looks like a target to me. It's quite centered.

BY MR. KAFRISSEN:

16 Q. Can you show me which page you're referring to?
17 A. This was the May 12 topography. There's a
18 subtraction done on that date. The other dates there's
19 no subtraction that I can see but there may be some.
20 There's quite a few topographies.

21 Q. You were referring to one --

22 A. This is the page.

23 Q. Oh, it's a May 12, 1997, number one. There are
24 three...
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1 A. That's before, after and subtracted. The upper
2 one is prior; the lower one is that date, and the one on
3 the left is the computer subtracting them to show exactly
4 what was done. This shows exactly how thick the corneal
5 area taken out was and exactly how much was taken out
6 each position.

7 Q. Okay. Now, after the March 21st surgery --

MS. POST: March 20th.

BY MR. KAFRISSEN:

10 Q. -- March 20th surgery, it looked like you had
11 seen Miss Fiorelli on the 21st for her first post-op
12 visit; is that right?

13 A. Yes.

14 Q. Okay. And when you saw her on the 21st, can
15 you tell me -- well, tell me what you did first.

16 A. I examined her under the slitlamp. I put a
17 drop of fluorozene <TPHRAOU-R> seen in to see if the
18 edges of the ablation were staining, the edges of the
19 flap were staining.

20 Q. And why would you do that?

21 A. To see if the flap had obtained a good
22 adherence.

23 Q. And what did you find for that?

24 A. Everything looked fine.
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